



## UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : WALSTON, Timothy, *et al.*  
Application Number : 09/828,592  
Filing Date : April 6, 2001  
Patent Number : 6,794,493 *B2*  
Issue Date : September 21, 2004  
Title : ANTITHROMBIN H-HELIX MUTANTS  
Examiner : MONDESI, Robert B.  
Group Art Unit : 1653

Certificate  
OCT 22 2004  
of Correction

Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 14, 2004

By: Ruth Ellen Genac

Name: Ruth Ellen Genac

REQUEST FOR CERTIFICATE OF CORRECTION

Upon reviewing the recently issued patent identified above, the patentee discovered an error. Specifically, the Patentee noticed that the section entitled STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH AND DEVELOPMENT was missing from the issued patent.

Accordingly, under 37 CFR 1.323, it is certified that error appears in the above-referenced patent and that said Letters Patent is hereby corrected as follows.

At column 1, line 7, please insert the following section:

STATEMENT REGARDING FEDERALLY SPONSORED  
RESEARCH AND DEVELOPMENT

This invention was made with United States government support awarded by the following agency: NIH HL58222-01. The United States has certain rights in this invention.

The Patentee submits that the mistake for which a correction is sought is of a clerical nature and the requested change does not materially affect the scope or meaning of the patent. The correction sought does not involve changes that would constitute new matter or require reexamination.

Therefore, the Patentee requests that a certificate of correction be issued for Patent No. 6,794,493. A Certificate of Correction form PTO/SB/44 reflecting the requested correction accompanies this request.

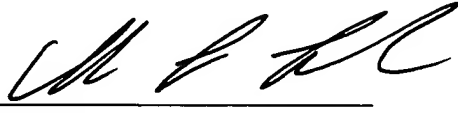
The Patentee further asks that the Office telephone the undersigned in the event a telephone discussion would be helpful in advancing this action.

OCT 25 2004

Respectfully submitted,

GODFREY & KAHN, S.C.

Dated: October 14, 2004

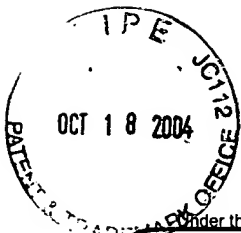
By: 

Charles L. Leeck  
Registration No. 50,343

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MN219663\_1.DOC

OCT 25 2004



|  |                        |                         |
|--|------------------------|-------------------------|
| <b>TRANSMITTAL FORM</b><br><br>Patent No. 6,794,493<br><i>(to be used for all correspondence after initial filing)</i> | Application Number     | 09/828,592              |
|  | Filing Date            | 04/06/2001              |
|  | First Named Inventor   | WALSTON, Timothy et al. |
|  | Art Unit               | 1653                    |
|  | Examiner Name          | MONDESI, Robert B.      |
|  | Attorney Docket Number | 060417-0002             |
| Total Number of Pages in This Submission   |                        | 6                       |

| ENCLOSURES (Check all that apply)   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form                  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input checked="" type="checkbox"/> Fee Attached                          | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Terminal Disclaimer  | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):         |
| <input type="checkbox"/> Express Abandonment Request                      | <input type="checkbox"/> Request for Refund   | Certificate of Correction PTO/SB/44   |
| <input type="checkbox"/> Information Disclosure Statement                 | <input type="checkbox"/> CD, Number of CD(s) _____                                      | Return Receipt Postcard Check No. 175334  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)           | <input type="checkbox"/> Landscape Table on CD  |   |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application    | Remarks   |   |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                      |          |        |
|--|----------------------|----------|--------|
| Firm Name                                  | Godfrey & Kahn, S.C. |          |        |
| Signature                                  |                      |          |        |
| Printed name                               | Charles L. Leeck     |          |        |
| Date                                       | October 14, 2004     | Reg. No. | 50,343 |

| CERTIFICATE OF TRANSMISSION/MAILING   |                  |                 |
|---|------------------|-----------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: |                  |                 |
| Signature   |                  |                 |
| Typed or printed name   | Ruth Ellen Genac | Date 10/14/2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 25 2004

Patent & Trademark Office  
OCT 18 2004  
JCT 12-3000

# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 100.00

## Complete if Known

|                      |                          |
|----------------------|--------------------------|
| Application Number   | 09/828,592 (Pat. No.     |
| Filing Date          | 04/06/2001 6,794,493) BZ |
| First Named Inventor | WALSTON, Timothy et al.  |
| Examiner Name        | MONDESI, Robert B.       |
| Art Unit             | 1653                     |
| Attorney Docket No.  | 060417-0002              |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 07-1509  
Deposit Account Name: Godfrey & Kahn, S.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 1053         | 130      | Non-English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 430      | 2252         | 215      | Extension for reply within second month                                    |          |
| 1253         | 980      | 2253         | 490      | Extension for reply within third month                                     |          |
| 1254         | 1,530    | 2254         | 765      | Extension for reply within fourth month                                    |          |
| 1255         | 2,080    | 2255         | 1,040    | Extension for reply within fifth month                                     |          |
| 1401         | 340      | 2401         | 170      | Notice of Appeal   |          |
| 1402         | 340      | 2402         | 170      | Filing a brief in support of an appeal                                     |          |
| 1403         | 300      | 2403         | 150      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453         | 1,370    | 2453         | 685      | Petition to revive - unintentional   |          |
| 1501         | 1,370    | 2501         | 685      | Utility issue fee (or reissue)   |          |
| 1502         | 490      | 2502         | 245      | Design issue fee   |          |
| 1503         | 660      | 2503         | 330      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 790      | 2809         | 395      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810         | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801         | 790      | 2801         | 395      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) Certificate of Correction 100.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 100.00

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 790      | 2001         | 395      | Utility filing fee     |          |
| 1002         | 350      | 2002         | 175      | Design filing fee      |          |
| 1003         | 550      | 2003         | 275      | Plant filing fee       |          |
| 1004         | 790      | 2004         | 395      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims:  -20\*\* =  X  =   
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  =

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |          |
| 1201         | 88       | 2201         | 44       | Independent claims in excess of 3                          |          |
| 1203         | 300      | 2203         | 150      | Multiple dependent claim, if not paid                      |          |
| 1204         | 88       | 2204         | 44       | ** Reissue independent claims over original patent         |          |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

|                   |                  |                                   |            |           |              |
|-------------------|------------------|-----------------------------------|------------|-----------|--------------|
| Name (Print/Type) | Charles L. Leeck | Registration No. (Attorney/Agent) | 50,343     | Telephone | 414-273-3500 |
| Signature         |                  | Date                              | Oct 6 2004 |           |              |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OCT 25 2004

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO. : 6,794,493 *B2*  
DATED : September 21, 2004  
INVENTOR(S) : WALSTON, Timothy, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

At column 1, line 7, please insert the following section:

**--STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH AND DEVELOPMENT**

This invention was made with United States government support awarded by the following agency:  
NIH HL58222-01. The United States has certain rights in this invention. --

**MAILING ADDRESS OF SENDER:**

Charles L. Leeck, Godfrey & Kahn, S.C.  
780 Water Street  
Milwaukee, WI 53202

PATENT NO. 6,794,493 *B2*

No. of additional copies



This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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